

DOCKET NO.: 10855.01

DEC 04 2006

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

In re Application of:
For:

Haarstad et al

METHOD AND APPARATUS PROVIDING SUCTION-ASSISTED TISSUE ENGAGEMENT THROUGH A MINIMALLY
INVASIVE INCISION

Serial No.:

10/675,815

Filed:

09-30-2003

CERTIFICATE OF MAILING UNDER 37 CFR 1.8: I hereby certify that this **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT** and the paper(s), as described herein, are being deposited in the U.S. Postal Service, as first class mail, addressed to the Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450 on this 1st day of December, 2006.

Signature

Jo L. Brecht

Printed Name

Commissioner of Patents and Trademarks
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Supplemental Information Disclosure Statement Transmittal
- ☒ Supplemental Information Disclosure Statement (SIDS)
- ☒ PTO FORM 1449
- ☒ Return Postcard

FEE CALCULATION

- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(b)
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(c) with Certification
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(e) with Certification
- ☐ \$240.00 Pursuant to 37 CFR §1.97(c) without Certification
- ☐ \$130.00 Pursuant to 37 CFR §1.97(d) with Certification

- ☐ Applicant hereby petitions for a _____ months' extension of time. If an additional extension of time is required, please consider this petition therefor.
- ☐ Applicant believes that no extension of time is required. However, if an extension of time is required, please consider this a petition therefor to provide for the possibility that applicant has inadvertently overlooked the need for an extension of time.
- ☒ Please charge any additional fees or credits to Deposit Account No. 13-2546 which may have been overlooked on this Amendment Transmittal with regard to this filing. A duplicate of this transmittal is enclosed.

Date

Jeffrey J. Hohenshell

Reg. No. 34,109

Telephone: (763) 391-9661

Customer No. 27581



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Philip J. Haarstad, et al.

Examiner: Samuel G. Gilbert

Serial No.: 10/675,815

Group Art Unit: 3731

Filing Date: 09-30-2003

Docket No.: P-10855.01

Title: METHODS AND APPARATUS PROVIDING SUCTION-ASSISTED TISSUE
ENGAGEMENT THROUGH A MINIMALLY INVASIVE INCISION

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Dear Sir:

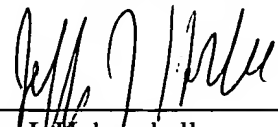
In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application.

Consideration of each of the documents listed on the attached Form 1449 is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicant further requests that a copy of the Form 1449, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

The filing of this supplemental information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Respectfully submitted,

Philip J. Haarstad, et al
By their Representatives,

By: 
Jeffrey J. Hohenshell
Attorney for Applicants
Registration No. 34,109
Telephone: (763) 391-9661
Customer No.: 27581

**INFORMATION
DISCLOSURE
STATEMENT**

Atty. Docket No.: P-10855.01

Serial No.: 10/675,815

Applicant(s): Haarstad et al

Filing Date: 09-30-2003

Group: 3731

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
	6,328,688	12-11-2001	Borst			
	6,334,843	01-02-2002	Borst			
	6,336,898	01-08-2002	Borst			
	6,350,229	02-26-2002	Borst			
	6,364,826	04-02-2002	Borst			
	6,371,906	04-16-2002	Borst			
	6,394,948	05-28-2002	Borst			
	6,395,015	05-28-2002	Borst			
	6,464,629	10-15-2002	Borst			
	6,464,630	10-15-2002	Borst			
	6,740,028	05-25-2004	Borst			
	6,755,780	06-29-2004	Borst			
	7,048,683	05-23-2006	Borst			
	2003-0078470	04-24-2003	Borst			
	2004-0167549	08-26-2004	Borst			
	2006-0036128	02-16-2006	Borst			

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	SubClass	Translation	
						Yes	No

OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

EXAMINER

Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.